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Notation:  $k = \text{field}$ .  $\mathcal{O} = k[[t]]$   $F = \text{Frac}(\mathcal{O}) = \mathcal{O}[\frac{1}{t}] = k((t))$ .

$\text{Aff}_k = \text{category of affine } k \text{ schemes with flat topology.}$

Defn. An ind-scheme  $/k$  is a sheaf of sets of the form

$$\mathcal{F} = \text{colim}_i X_i \quad \left( X_i \text{ are schemes}/k, \text{ which is a sheaf on } \text{Aff}_k \text{ via Yoneda} \right)$$

with transition  $i = \text{closed immersions}$ .

Examples

- (1) a scheme over  $k$  ~~is a~~
- (2) a formal scheme over  $k$ .

$$\left[ \mathcal{F}_{\text{red}} = \text{colim}_i (X_i)_{\text{red}}, \text{ and } \mathcal{F} \text{ is a formal scheme} \Leftrightarrow \mathcal{F}_{\text{red}} \text{ is a scheme} \right]$$

### Affine Grassmannians.

Defn.  $R = k$ -algebra. An  $R$ -family of lattices in  $k((t))^n$  is a f.g. proj.  $R[[t]]^n$ -submodule  $\Lambda \subseteq R((t))^n$  st.  $\Lambda \otimes \mathcal{O}[\frac{1}{t}] \cong R((t))^n$ .

$\text{Gr}$  is the functor assigns  $R \longmapsto \left\{ \begin{array}{l} R\text{-family of lattices} \\ \text{in } k((t))^n \end{array} \right\}$

Thm. The affine Grassmannian  $\text{Gr}$  is an ind-scheme.

$$\left( \text{Gr} = \text{colim}_i X_i, \text{ each } X_i \subseteq \mathbb{P}_k^{N_i} \right)$$

Idea: Any  $\Lambda$  must be squeezed between  $t^N \Delta_0 \subseteq \Lambda \subseteq t^{-N} \Delta_0$  where  $\Delta_0 = R[[t]]^n$  is the standard lattice.

So  $\text{Gr} = \text{colim}_N \text{Gr}^{(N)}$  (those  $R$ -lattices squeezed)

Lemma.  $\text{Gr}^{(N)}$  is identified with

$$R \longmapsto \left\{ \begin{array}{l} \text{quotients of } \frac{t^{-N} R[[t]]^n}{t^N R[[t]]^n} \text{ that are} \\ \text{via } \Delta \longmapsto \frac{t^{-N} R[[t]]^n}{\Delta} \end{array} \right\}$$

① proj as  $R$ -mod and  
②  $\cdot t$  stable

Granting this lemma.

$$\text{Gr}^{(N)} \subseteq \text{Gr} \left( \frac{t^{-N}R[[t]]^n}{t^N R[[t]]^n} \right)$$

cut out by ②, which is a closed condition.

Now we need to prove this lemma.

Step 1: Show  $Q := t^{-N}R[[t]]^n / \Lambda$  is  $R$ -proj.

$$0 \rightarrow Q \rightarrow R((t))^n / \Lambda \rightarrow R((t))^n / t^{-N}R[[t]]^n \rightarrow 0$$

$$\begin{matrix} \text{II} \\ \bigoplus_{k \geq 0} t^{-k-1} \Lambda / t^{-k} \Lambda \end{matrix} \xleftarrow{\text{proj.}/R} \uparrow$$

Step 2: need to show, ~~given  $Q$  the quotient as in the lemma~~

$$\forall (R, Q), \quad \Delta := \ker \left( t^{-N}R[[t]]^n \rightarrow \frac{t^{-N}R[[t]]^n}{t^N R[[t]]^n} \rightarrow Q \right)$$

$\cong R((t))^n$

is finite proj.  $/ R[[t]]$ .

$\text{Gr}^{(N)}$  is ~~locally~~ finite type  $/ k$ , we reduce to  $R/k$  f.t.

Then  $R[[t]] \rightarrow R[[t]]$  is flat as  $R[[t]]$  is Noetherian.

$$\text{define } \Delta_f := \ker \left( t^{-N}R[[t]]^n \rightarrow \frac{t^{-N}R[[t]]^n}{t^N R[[t]]^n} \cong \frac{t^{-N}R[[t]]^n}{t^N R[[t]]^n} \rightarrow Q \right)$$

$$\Lambda = \Delta_f \otimes_{R[[t]]} R[[t]]$$

So suffice to show  $\Delta_f$  is finite proj.

f.g.  $\Delta_f$  as submod. of  $t^{-N}R[[t]]^n$ .

already flat  $/ R$ , so suffices to show flatness after ~~modulo~~ mod'l ideals  $m \in R$ .

$$\Delta_f / m = \ker \left( t^{-N}k[[t]]^n \rightarrow \frac{t^{-N}k[[t]]^n}{t^N k[[t]]^n} \rightarrow Q \otimes_R k \right)$$

③

flatness follows from being torsion free.

Transition  $C_r^{(N)} \rightarrow C_r^{(N+1)}$  is ~~easy~~ easily seen to be <sup>a</sup> closed immersion

Incl - projective

canonical ample line on  $C_r$ :

$$\begin{aligned}
 & (\Delta_1, \Delta_2) \in C_r \times C_r(R) \\
 & \rightarrow \det(\Delta_1 / \mathbb{Z}) \otimes \det(\Delta_2 / t^N R[[t]]^n)^{-1} \in \text{Pic}(R) \\
 \det(\Delta_1 | \Delta_2) & := \det(\Delta_1 / \mathbb{Z}) \otimes \det(\Delta_2 / t^N R[[t]]^n)^{-1} \\
 & \text{where } N \gg 0. \text{ (independent of } N \text{ up to canonical isom.)}
 \end{aligned}$$

Moreover, for any  $\Delta_1, \Delta_2, \Delta_3$ ,  $\exists$  canonical isom:

$$\gamma_{123} : \det(\Delta_1 | \Delta_2) \otimes \det(\Delta_2 | \Delta_3) \cong \det(\Delta_1 | \Delta_3)$$

s.t. given  $\Delta_1, \dots, \Delta_4$ ,

$$\gamma_{134} \gamma_{123} = \gamma_{124} \gamma_{234}$$

Conclusion:

• Cst gpoid  $\mathcal{L}_{\det} / \text{zero-section} \rightrightarrows C_r$

or  $C_r$ -gerbe  $\mathcal{D}_V = [C_r / \mathcal{L}_{\det}^x]$

$\mathcal{L}_{\det} / C_r \times C_r$ . fix  $\Delta \in C_r$  corresponds to the standard lattice.

$\rightsquigarrow$  ample  $\mathcal{L}_{\det}$  on  $C_r \times \text{pt} \cong C_r$ .

$L^+GL_n$  &  $LGL_n$

Defn.  $X$  presheaf over  $\mathcal{O} = k[[t]]$ .  $L^+X(R) = X(R[[t]]/t^n)$ .

$$L^+X = \varinjlim_n L^+X, \quad \in (\text{Res}_k^{R[[t]]} X)(R)$$

•  $Y$  presheaf over  $F = k[[t]]$

$$LY(R) = Y(R[[t]])$$

Prop. (1)  $X$  scheme over  $\mathcal{O} = k[[t]]$

Then  $L^+X \dashrightarrow L^nX \rightarrow L^1X \cong X \times_{\mathcal{O}} k$  • representable

is ① affine morphism,

②

f. type  $\forall n \in \mathbb{N}$ .

③ open/closed  $X \rightarrow X'$  induce open/closed  $L^+X \rightarrow L^+X'$

(2)  $Y$  f.t. <sup>affine</sup> over  $F = k[[t]]$

Then  $LY$  is an ind-affine scheme/k.

$Y \rightarrow Y'$  closed  $\implies LY \rightarrow LY'$  closed.

(3)  $X/\mathcal{O}$  then  $L^+X \hookrightarrow LX_F$  closed embedding.

Example: (1)  $X = \mathbb{A}^1_{\mathcal{O}}$ , then  $L^+X(R) = R[[t]] = (\text{Spec } k[a_0, a_1, \dots])(R)$   
↑ coefficients

$$LX_F = \text{colim}_i \text{Spec } k[a_{-i}, a_{-i+1}, \dots]$$

(2)  $X = \mathbb{C}_{m/0}$ , then  $L^+X \stackrel{(R)}{=} \left\{ (f = \sum a_i t^i, g = \sum b_j t^j) \in R[[t]]^2 \mid f \cdot g = 1 \right\}$   
 $\implies L^+X = \text{Spec } k[a_{-1}, a_0, a_1, \dots]$

$$L_{-1}X_F = (R) = \left\{ \begin{array}{l} f = a_{-1}t^{-1} + a_0 + a_1t + \dots \\ g = b_{-1}t^{-1} + b_0 + b_1t + \dots \end{array} \mid f \cdot g = 1 \right.$$

$$\left\{ \begin{array}{l} a_{-1} \cdot b_{-1} = 0 \\ a_{-1}b_0 + a_0b_{-1} = 0 \\ a_{-1}b_1 + a_0b_0 + a_1b_{-1} = 1 \\ \vdots \end{array} \right.$$

can check  $a_0b_{-1} = a_{-1}^2b_0 = 0$ .

but  $a_0b_{-1} \neq 0$ .

So non-reduced.

$L^+X$  inside is cut out by setting  $a_{-1} = b_{-1} = 0$ .

(5). Zhu claims that it's easy to see that  $LX$  is highly non-reduced. I don't see why...  
 (easily)

~~In any~~ We're interested in  $L^+GL_n \subseteq LGL_n$

$$LGL_n(R) \hookrightarrow Gr(R).$$

$$g \in GL_n(R((t))), \Lambda \in R((t))^n, \quad g \cdot \Lambda \in g \cdot R((t))^n = R((t))^n$$

Hence we have  $LGL_n \times Gr \rightarrow Gr$ .

if we take  $\Lambda_0 = R[[t]]^n$  the standard lattice, its stabilizer in  $LGL_n$  is exactly  $L^+GL_n$ !

Prop.  $[LG/L^+G] \cdot \Lambda_0 \xrightarrow{\cong} Gr$   
 $\swarrow$  fppc sheaf of the quotient presheaf.

pf.  $\Lambda$  f. proj. /  $R[[t]]$ ,  $\Lambda/t$  is a f. proj /  $R$ .

$\rightsquigarrow \exists R \rightarrow R'$  faithfully flat (or <sup>faithful</sup> étale).  
 s.t.  $\Lambda/t \otimes_R R' \cong R'^{\oplus n}$

~~Life~~ generators  $\rightsquigarrow \Lambda \otimes_{R[[t]]} R'[[t]] \cong R'[[t]]^{\oplus n}$   
 $R((t))^n \cong R'((t))^n$

compare this with the standard lattice  $R[[t]]^n \subseteq R((t))^n$   
 gives  $g \in GL_n(R((t)))$ .

Cor.

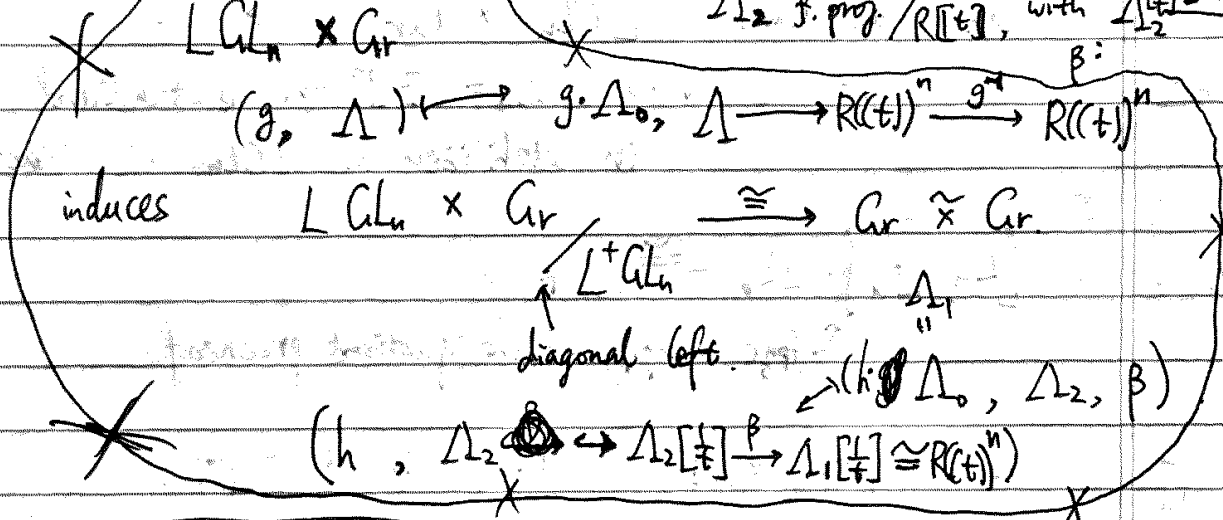
$Gr$  is formally smooth, i.e. if  $\tilde{R} \rightarrow R$  is a square zero thickening then  $Gr(\tilde{R}) \rightarrow Gr(R)$ .

Recall:  $L_{\det} / \text{Gr} \times \text{Gr} = \det(\Lambda_1 | \Lambda_2)$

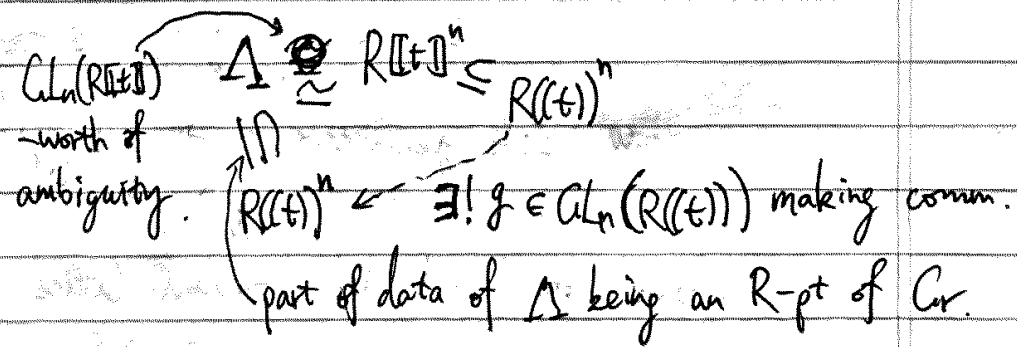
$g \in L(\text{GL}_n)$   $f_g: \det(g \cdot \Lambda_1 | g \cdot \Lambda_2) \cong \det(\Lambda_1 | \Lambda_2)$   
 satisfy: cocycle condition.

$L\text{GL}_n \hookrightarrow L_{\det} / \text{Gr} \times \text{Gr}$

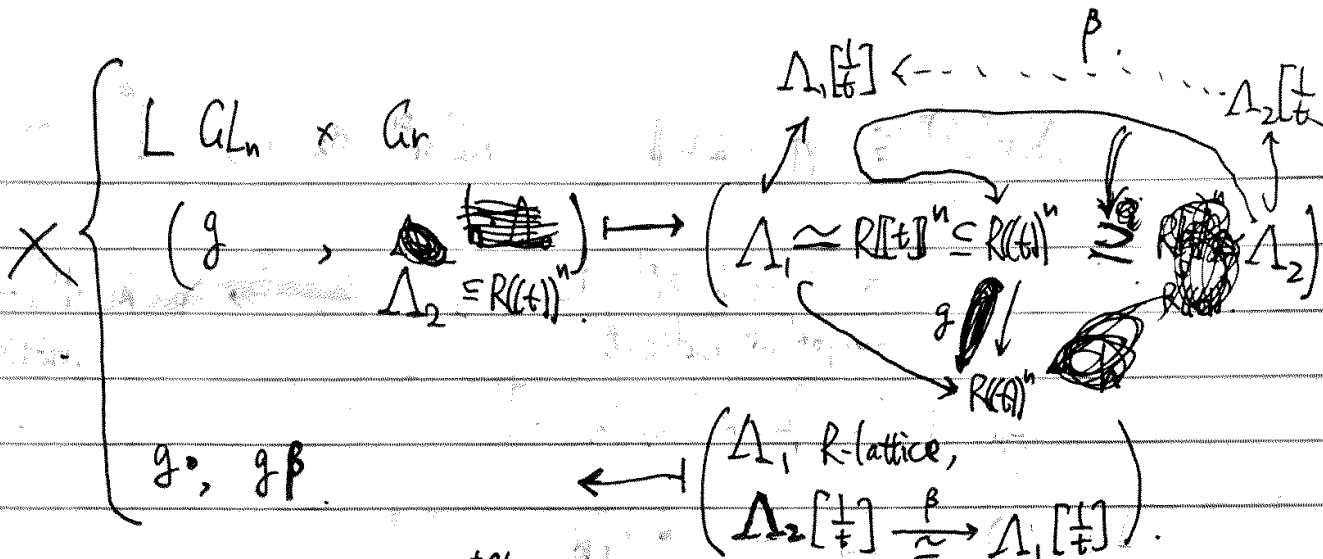
Convolution Grassmannian:  $\text{Gr} \tilde{\times} \text{Gr}(R) = (\Lambda_1 \subseteq R[[t]]^n \text{ lattice and } \Lambda_2 \subseteq \Lambda_1[[t]] \text{ lattice})$   
 Defn.  $\Lambda_2$  f. proj. /  $R[[t]]$ , with  $\Lambda_2[[t]] \cong \Lambda_1[[t]]$



conceptually better:  $\Lambda \in \text{Gr}(R)$  s.t.  $\Lambda$  is trivial.



⑦

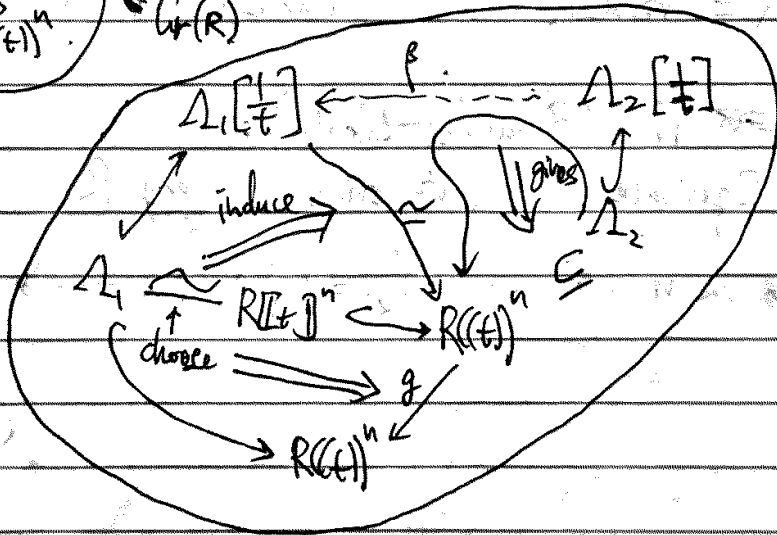
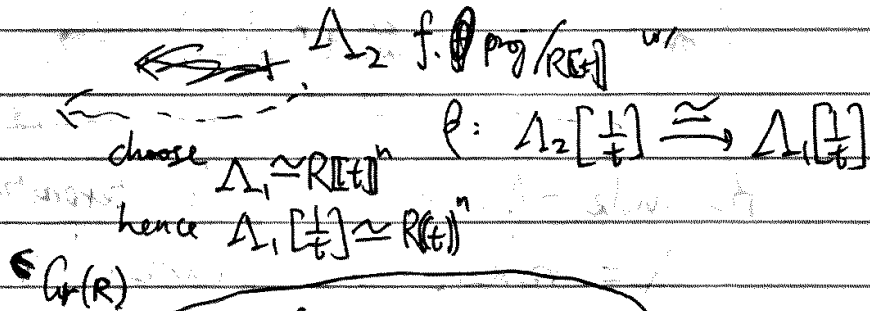
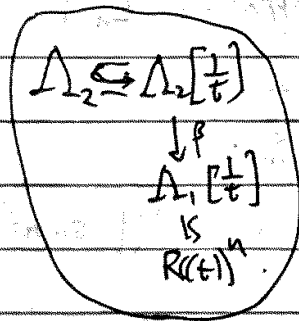


Prop.

gives  $L GL_n \times^{L^+ GL_n} Gr \cong Gr \times Gr$ .

$\Delta_1 \subseteq R((t))^n$  R-lattice.

g



Extra symmetry:  $Aut(D)$  assigns  $R \mapsto$

$$Aut(D)(R) = Aut_{R, cont}(R[[t]])$$

$$\varphi(t) = a_0 + a_1 t + a_2 t^2 + \dots \in R[[t]]$$

induces a continuous auto iff  $a_0$  is nilpotent and  $a_1$  is invertible.

closed normal subgroup.

$$\text{Aut}(D) \cong \text{Spf } k[[a_0]] \times \text{Spec } k[a_1^{\pm 1}, a_2, a_3, \dots] \xrightarrow{\hookrightarrow} \text{Spf } k[[a_0]]$$

$$\text{Aut}^+(D) \cong \text{Spec } k[a_1^{\pm 1}, a_2, \dots] \xrightarrow{\hookrightarrow} \text{Spec } k[a_1^{\pm 1}] =: \mathbb{G}_m^{\text{rot}}$$

UL pro-unipotent radical. rotation torus.

$$\text{Aut}^{++}(D) \cong \text{Spec } k[a_2, a_3, \dots]$$

$$\text{Aut}(D) \times \text{Gr} \xrightarrow{\hookrightarrow} \text{Gr}$$

$$(\varphi, \Lambda \in R((t))^n) \mapsto \left( \Lambda \otimes_{R((t)), \varphi} R((t)) \cong R((t)) \otimes_{R((t)), \varphi} R((t)) \cong R((t))^n \right)$$

(or  $(\varphi, g \cdot \text{GL}_n \xrightarrow{\hookrightarrow} \varphi(g) \cdot \text{GL}_n)$ )

Beauville-Laszlo's moduli interpretation

$X =$  reduced connected curve, with  $x \in |X|$  smooth closed pt.

~~For~~ Thm (Beauville-Laszlo):  
Equivalence of categories for any  $R$

$$\left\{ \begin{array}{l} \text{rk } n \text{ v.b. on } X_R := X \otimes_k R \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \textcircled{1} \text{ rk } n \text{ v.b. on } D_{x,R} \\ \textcircled{2} \text{ rk } n \text{ v.b. on } X_R^* := (X, \text{fst}) \otimes_k R \\ \textcircled{3} \varphi: \text{identification of these 2 v.b.s restricted to } D_{x,R}^* \end{array} \right\}$$

Cor:  $\text{GL}_n$  represents the functor

$$R \mapsto \left\{ (\mathcal{E}, \alpha, \beta), \text{ where } \mathcal{E} \text{ is a rk } n \text{ v.b. on } X_R, \alpha: \mathcal{E} \times_{D_{x,R}} \cong \bigoplus_n \mathcal{O}_{D_{x,R}}, \beta: \mathcal{E} \times_{X_R} \cong \bigoplus_n \mathcal{O}_{X_R^*} \right\}$$



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pf sketch: to get  $\mathcal{E}$  from  $\alpha$  &  $\beta$ , by B-L Thm, we need to specify  $\varphi: \mathcal{O}_{D_{X,R}^*}^{\oplus n} \xrightarrow{\cong} \mathcal{O}_{D_{X,R}^*}^{\oplus n}$

which is exactly  $GL_n(R(\mathbb{C}))^n$ .

as  $D_{X,R}^* = \text{Spec}(R(\mathbb{C}))$ .

$$g \longleftrightarrow (\beta|_{D_{X,R}^*}) \circ (\alpha|_{D_{X,R}^*})^{-1}$$

Now ~~quotienting~~ <sup>if</sup>  $g \in L^*GL_n$ , then we may absorb  $g$  in the ~~identifying~~ trivialization  $\alpha$ .

So ~~quotienting~~ <sup>is</sup> quotienting out  $L^*GL_n$  amounts to forgetting the trivialization  $\alpha$ . Hence we get

Thm:  $\text{Gr}$  represents the functor

$$R \longmapsto \left\{ (\mathcal{E}, \beta) \text{ where } \mathcal{E} \text{ is a rk } n \text{ v.b. on } X_R, \right. \\ \left. \beta: \mathcal{E}_{X_R} \otimes X_R^* \cong \bigoplus_n \mathcal{O}_{X_R^*} \right\}$$